## ALZ5045/ARC3307 R1

Please type a plus sign (+) inside this box +

PTO/SB/01 (10-00)

Under the Paperwo	ork Reduction Act of 1995, no pers	one are required t		atent and Trademark Offic		FOF COMMERCE
MADE	,	ons are required				
DEC	DECLARATION AND		Attorney Do	cket Number	ALZ5045/ARC	3307R1
POWER OF ATTORNEY		First Named	Inventor G	Suohua Chen		
· ·	LITY OR DESIGN APPLICATION			COMPLETE	IF KNOWN	
(37	(37 CFR 1.63)  ☐ Declaration Submitted with ☐ Declaration Submitted after Initial Filing (Surcharge	Application I	Number 6	0/424,428	•	
		Filing Date		lovember 6, 200	)2	
	(37 CFR 1.16(e)	) requirea)	Group Art U	nit n	/a	
			Examiner N	ame n	/a	
As a below named invento	r, I hereby declare tha	t:				
My residence, mailing addre I believe I am the original, fir plural names are listed below entitled:	st and sole inventor (if o	nly one nam	ne is listed belo	ow) or an original,		
	CONTROLLED R	ELEASE D		MULATIONS		
the specification of which			•			
is attached hereto						
OR						
was filed on (MM/DD/YYYY) as United States Application Number or PCT International Application Number and was amended on (MM/DD/YYYY)						Number
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.						
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.						
	-					
I hereby claim foreign priority inventor's certificate, or 365( United States of America, list or inventor's certificate, or art priority is claimed.	a) of any PCT internation ted below and have also	nal applicati o identified b	on which desi elow, by chec	gnated at least on king the box, any	e country other foreign applicati	than the on for patent
Prior Foreign Application Number(s)	Country		Filing Date D/YYYY)	Priority Not Claimed		ed Copy ched? NO

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:



DECLAF	RATION - Utility or Design Patent Ap	plication					
I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.							
Application Number(s)	Filing Date (MM/DD/YYYY)	pphodion(s) noted below.					
60/424,428	November 6, 2002	Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.					
as the subject matter of each of the claims provided by the first paragraph of Title 35, defined in Title 37, Code of Federal Regula national or PCT international filing date of t		r United States application in the manner e duty to disclose material information as filing date of the prior application and the					
Application Serial No.	Filing Date	Status					
		Patented Patented Patented					
I hereby appoint:		Place Customer					
Practitioners at Customer Number  AND	000027777 →	Number Bar Code Label Here					
Practitioner(s) named below:  Name Angela Nwaneri Samuel E. Webb  Angela News							
Address all telephone calls to Samuel	E. Webb, Esq. at telephone number (73	2) 524-5106.					
	mer Number Co de Label 000027777 OR	☐ Correspondence address below					
Address:							
Address:							
City:	State:	ZIP					
Country	Telephone:	Fax:					

information and belief are believed to be that willful false statements and the like so U.S.C. 1001 and that such willful false states issued thereon.	rue; and further that o made are punishab	these sta le by fine	atements were e or imprisonme	ent, or both, under 18		
NAME OF SOLE OR FIRST INVENTOR:	☐ A petition I	nas been fi	led for this unsign	ed inventor		
Given Name (first and middle [if any]) Guohua		ily Name urname	Chen			
Inventor's Signature Con of Color	2	·- I·	Date (O	/29/03		
Residence: City Sunnyvale	State CA	Coun	try U.S.A.	Citizenship U.S.A.		
Mailing Address 399 Sunset Avenue						
City Sunnyvale	State CA	ZIP	94086	Country U.S.A.		
I hereby declare that all statements made information and belief are believed to be that willful false statements and the like so U.S.C. 1001 and that such willful false states issued thereon.	rue; and further that o made are punishab	these sta le by fine	atements were a or imprisonme	made with the knowledge ent, or both, under 18		
NAME OF SECOND INVENTOR:	☐ A petition i	nas been fi	led for this unsign	ed inventor		
Given Name (first and middle [if any]) Paul						
Inventor's Paul Houtlor	1		Date /0-	29-2003		
	State CA	Coun	Date /O -	29 - 2003 Citizenship U.S.A.		
Signature Paul Nouskov	State CA	Coun	1			
Residence: City Hayward	State CA		1			
Residence: City Hayward  Mailing Address 3889 Blackstone CT	State CA herein of my own knrue; and further that o made are punishab	ZIP ( owledge these sta le by fine	94542 are true and the stements were to or imprisonme	Country U.S.A.  at all statements made on made with the knowledge ent, or both, under 18		
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NAME OF SOLE OR FIRST INVENTOR:	☐ A pe	etition has been fi	iled for this unsign	ed inventor	
Given Name (first and middle [if any]) Teresa		Family Name or Surname	Kameda		
Inventor's Torem Karner	de	<del></del>	Date 10/3	30/03	
Residence: City Sunnyvale	State CA	Coun	try U.S.A.	Citizenship U.S.A.	
Mailing Address 1067 Lois Avenue					
City Sunnyvale	State CA	ZIP	94087	Country U.S.A.	
I hereby declare that all statements m information and belief are believed to that willful false statements and the lik U.S.C. 1001 and that such willful false issued thereon.	be true; and further ke so made are puni	r that these sta hishable by fine	atements were i e or imprisonme	made with the knowledge ent, or both, under 18	
NAME OF SECOND INVENTOR:	A p€	etition has been fi	iled for this unsigne	ed inventor	
Given Name (first and middle [if any]) David		Family Name or Surname	Priebe		
Inventor's Signature			Date		
Residence: City Seattle	State WA	Coun	try U.S.A.	Citizenship U.S.A.	
Mailing Address 125 NorthEast 63 <sup>rd</sup> St	treet				
City Seattle	State WA		98115	Country U.S.A.	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF THIRD INVENTOR:	☐ A pe	etition has been fi	led for this unsigne	ed inventor	
Given Name (first and middle [if any]) Lothar		Family Name or Surname	Kleiner		
Inventor's Signature	γ		Date	,	
Residence: City Los Altos	State CA	Coun	try U.S.A	Citizenship U.S.A.	
Mailing Address 295 Los Altos Court					
City Los Altos	State CA	710 (	24022	Country 11 S A	

information and that willful false		true; and further so made are pun	that these st ishable by fin	atements were i e or imprisonme		
NAME OF SOLE O	OR FIRST INVENTOR:	☐ A pe	etition has been t	iled for this unsign	ed inventor	
Given Name (first and middle [	if any]) Teresa		Family Name or Surname	Kameda		
Inventor's Signature				Date		
Residence: City	Sunnyvale	State CA	Cour	itry U.S.A.	Citizenship U.S.A.	
Malling Address	1067 Lois Avenue					
City	Sunnyvale	State CA	ZIP	94087	Country U.S.A.	
information and that willful false	belief are believed to be statements and the like s d that such willful false st	true; and further so made are pun	that these st ishable by fin	atements were e or imprisonme		
NAME OF SECON	D INVENTOR:	☐ A pe	etition has been t	filed for this unsign	ed inventor	
Given Name (first and middle [	ifany]) David		Family Name or Sumame	Priebe		
Inventor's Signature	2-10.60	1		Date 10	14103	
Residence: City	Seattle	State ₩A	Cour	itry U.S.A.	Crizenship U.S.A.	
Mailing Address	125 NorthEast 63 <sup>™</sup> Stre	et				
City	Seattle	State WA	ZIP	98115	Country U.S.A.	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
NAME OF THIRD	INVENTOR:	☐ A pe	etition has been	filed for this unsign	ed inventor	
Given Name (first and middle [	ifanyj) Lothar	:	Family Name or Sumame	Kleiner		
Inventor's Signature				Date		
Residence: City	Los Altos	State CA	Cour	ntry U.S.A	Citizenship U.S.A.	
Mailing Address	295 Los Altos Court					
City	Los Altos	State CA	ZIP	94022	Country U.S.A	

I hereby declare that all statements mainformation and belief are believed to that willful false statements and the like U.S.C. 1001 and that such willful false issued thereon.	oe true; and lumier	chable by fine	or imorisonme	nt, or both, under 18	
NAME OF SOLE OR FIRST INVENTOR:	A pe	tition has been f	led for this unsigne	d inventor	
Given Name (first and middle [if any]) Teresa		Family Name or Sumame	Kameda	<u>:</u>	
Inventor's Signature			Date	:	
Residence: City Sunnyvale	State CA	Cour	try U.S.A.	Citizenship U.S.A.	
Malling Address 1067 Lois Avenue					
City Sunnyvale	State CA	ZJP	94087	Country U.S.A.	
I hereby declare that all statements m information and belief are believed to that willful false statements and the lik U.S.C. 1001 and that such willful false issued thereon.	De true; and further	i ulat illese st sishahla hv fin	a or imprisonme	ent, or both, under 18	
NAME OF SECOND INVENTOR:	☐ Ap	etition has been	filed for this unsign	ed inventor	
Given Namo (first and middle [if any]) David		Family Name or Surname	Priebe		
Inventor's Signature			Date	γ.	
Residence: City Seattle	State WA	Cou	ntry U.S.A.	Citizenship U.S.A.	
Mailing Address 125 NorthEast 63rd S	treet	·			
City Seattle	State WA	ZIP	98115	Country U.S.A.	
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NAME OF THIRD INVENTOR:	Ar	etition has been	filed for this unsign	ned inventor	
Given Namo (first and middlo [if any]) Lothar  Family Name or Surname Kleiner					
Inventor's Lother 7	leiner		Date Oc	+ 30, 2003	
Residence: City Los Altos	State CA	Cou	intry U.S.A	Citizenship U.S.A.	
Mailing Address 295 Los Altos Cour	t				
City Los Altos	State CA	ZIP	94022	Country U.S.A	

DOCKET NO. ARC 3307 P1 / ALZ5045

DOCKET NO. ALZ5045/ARC 3307P1

Joint Inventors

## ASSIGNMENT

Serial No.

60/424.428

Filed

November 6, 2002

WHEREAS,

(1):	Guohua Chen	(2)	Paul Houston
(3):	Roy Bannister	(4)	Teresa Kameda
(5)	David Priebe	 (6)	Lothar Kleiner
(1)	respectively residing at: 399 Sunset Ave., Sunnyvale, CA 94086	(2)	3889 Blackstone Ct., Hayward CA 94542
(3)	2090 Nora Dr., Hollister, CA 95023	_ (4)	1067 Lois Ave., Sunnyvale, CA 94087
(5)	125 NorthEast 63 <sup>rd</sup> Street Seattle, Washington, 98115	— (6) —	295 Los Altos Court, Los Altos, CA 94022

(hereinafter called "Assignors"), have made certain new and useful inventions or discoveries relating to

## CONTROLLED RELEASE DEPOT FORMULATIONS

which is described in the United States Provisional Application s/n 60,424,428 filed on November 6, 2002 for Letters Patent of the United States;

and

WHEREAS, ALZA Corporation, a corporation of the State of Delaware, (hereinafter called "Assignee"), is desirous of acquiring Assignors' entire right, title, and interest therein:

NOW, THEREFORE, BE IT KNOWN that for and in consideration of the sum of One Dollar and other valuable considerations to them moving, the receipt of which is hereby acknowledged, Assignors have sold, assigned, and transferred, and do hereby sell, assign and transfer unto said Assignee their entire right, title and interest in and to all said inventions and discoveries disclosed in said application whose identification above by serial number and filing date, when available is hereby authorized, and in and to said application, all substitutions, divisions, and continuations thereof, and in and to all Letters Patent, United States and foreign, that may be granted for said inventions and discoveries, and in and to all extensions, renewals, and relssues thereof, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignors if this Assignment and sale had not been made;

And Assignors hereby authorize and request the Commissioner of Patents of the United States to issue said Letters Patent in accordance with this Assignment;

And for the consideration aforesaid, Assignors covenant and agree with said Assignee that he has a full and unencumbered title to the inventions and discoveries above described and hereby assigned, which title they warrant unto said Assignee, its successors and assigns;

DOCKET NO. ARC 3307 P1 / ALZ5045

And for the consideration aforesaid, Assignors further covenant and agree that they will, whenever requested, but without cost to them promptly communicate to said Assignee or its representatives any facts known to them relating to said inventions and discoveries, testify in any interference or legal proceedings involving said inventions and discoveries, and execute any additional papers that may be necessary to enable said Assignee or its representatives, successors, nominees, or assigns to secure full and complete protection for the said inventions and discoveries or that may be necessary to vest in said Assignee the complete title to the said inventions and discoveries and patents hereby conveyed and to enable it to record said title.

IN TESTIMONY WHEREOF, we have each hereunto set our hands.

Guohua Chen this toth day of Octaber 2003; and
STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA )
On OC+ 10 , 2003, before me, Lois E. Miller, a Notary Public
personally appeared, Guohua Chen, personally known to me (or proved to me on the basis of settsfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he see executed the same in his har authorized capacity, and that be his signature on the instrument the person, or the entity upon behalf of which the person acted

WITNESS my hand and official seal.

executed the instrument.

(SEAL)

LOIS E. MILLER
Commission # 1288486
Motory Public - California
Santo Clare County
My Corren. Buttes Dec 25, 2004

DOCKET NO. ARC 3307 P1/ALZ5045  This 16 day of October 2003; and Paul Houston
STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA )
On Oct 16 2003, before me, Lois E. Miller, a Notary Public
personally appeared, Paul Houston, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that heighe executed the same in his/her authorized capacity, and that by his/her signature on the Instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
Commission # 1268464 Sentary Public - California Sentary P
My Comm. Equies Dec 25, 2004
this 16 day of October 2003.
STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA )
On Oct 16 2003, before me, Lols E. Miller, a Notary Public
personally appeared, Roy Bannister, personally known to me (er proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he sate executed the same in his her authorized capacity, and that by his hex signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
NOTARY PUBLIC SIGNATURE  (SEAL)

DOCKET NO. ARC 3307 P1 / ALZ5045				
Tross Hameda	_this14	day of	October	2003; and
161629 Valleda				
STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA )				
on Oct 14 2003, before m	e, <u>Lois E. Mill</u>	er, a Notary P	ublic	
personally appeared, Teresa Kamed satisfectory evidence) to be the personacknowledged to me that he she executed the instrument.	on whose nar cuted the sar	ne is subscril ne in his/her	ped to the within Pauthorized capac	i Instrument and city, and that by
WITNESS my hand and official seal.			TOR E WIL	LER L
57	_ (SEAL)	To the second	Commission #   Natury Public - C	Collinge 2
NOTARY PUBLIC SIGNATURE	_ (SEAL)		Sonto Clora C My Comm. Extent	Coumba S
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	this	; day of		2003.
David Priebe	this	, day of		2003.
David Priebe  STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA )	this	day of		2003.
STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA )				
STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA )	e	nown to me ( me is subscri	or proved to me bed to the within authorized capa	a Notary Public on the basis of instrument and city, and that by
STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA ) On	e	nown to me ( me is subscri	or proved to me bed to the within authorized capa	a Notary Public on the basis of instrument and city, and that by
STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA ) On	personally king the second of	nown to me ( me is subscri	or proved to me bed to the within authorized capa	a Notary Public on the basis of instrument and city, and that by
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DOCKET NO. ARC 3307 P1 / AL25045			
· • • • • • • • • • • • • • • • • • • •	this	day of	2003; and
Teresa Kameda		-	
STATE OF CALIFORNIA COUNTY OF SANTA CLARA	A )		
On:2003	, before me, <u>Lois E. N</u>	<u>filler,</u> a Notary Public	
personally appeared, Tores satisfactory evidence) to be acknowledged to me that his/ner signature on the Instead executed the Instrument.	e the person whose the secuted the s	name is subscribed to same in his/her autho	o the within instrument and rized capacity, and that b
WITNESS my hand and office	cial seal.		
NOTARY PUBLIC SIGN	(SEAL)		
:			
David Priebe  WAS HINGTO  STATE OF CALIFORNIA  COUNTY OF COUNTY OR	ر بر	day of Oc	<u>1-b-</u> 2003.
on Oct 14 2003	before me, Vic	oris E Luke	euch a Notary Public
personally appeared, DavisatIsfactory evidence) to be acknowledged to me that this/her signature on the instead of the instrument.  WITNESS my hand and office the instrument of the instrument	d Priebe, personally e the person whose he/she executed the trument the person, or cial seal.	known to me (or proname is subscribed to same in his/her author the entity upon behalt	oved to me on the basis of the within instrument an initial capacity, and that b
	-ck	WASHINGTON TO THE PARTY OF WASHINGTON	

DOCKET NO. ARC 3307 P1 / ALZ5045	
Lother W. Rlemer this 14th day of Octobe.	2003; and
Lothar Kleiner	
STATE OF CALIFORNIA ) COUNTY OF SANTA CLARA )	
On Oct. 14 2003 before me, Los E. Miller	a Notary Public
personally appeared. Lothar Kleiner, personally known to me (er proved to satisfactory evidence) to be the person whose name is subscribed to the will acknowledged to me that he site executed the same in his her authorized can his her subscribed executed the instrument.	ithin instrument and epacity, and that by
Commission Notary Public	MILLER n # 1286486 - California
NOTARY PUBLIC SIGNATURE  My Contr. By	res Dec 25, 2004